

CIRCULAR

NSE

Segmental Surrender of Membership

Applicability of Additional Surveillance Measure (ASM)

Applicability of Short-Term Additional Surveillance Measure (ST-ASM)

Total Surrender of Membership

Levy of penalties - SLB scheme

Notification on Updated lists of UNSCR 1718 Sanctions Committee

Applicability of Additional Surveillance Measures (ASM)

Applicability of Short-Term Additional Surveillance Measure (ST-ASM)

<u>List of Securities moving to Stage I of Graded Surveillance Measure (GSM)</u>

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

<u>List of Securities moving to Stage III of Graded Surveillance Measure (GSM)</u>

<u>Trade for Trade</u>

Revised ratios for conversion of USDINR 1 million equivalent

<u>Undertaking/Authorisation to Exchanges to access the information/statements pertaining to all bank accounts (maintained by members) from Banks</u>

BSE

Addendum Order in the matter of KLG Capital Services Limited

Change in Control of CONCEPT SECURITIES PVT. LTD. (Member No. 919)

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Applicability of Additional Surveillance Measure

Application for Resignation of Membership

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Admission of Member in Cash, Equity Derivative & Currency Derivative Segments

Admission of Member in Cash, Equity Derivative, Currency Derivative & Commodity Derivative Segments

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Applicability of Additional Surveillance Measure

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Implementation of Uniform Applicability of NAV on BSE StAR MF Platform – Update

MCX

Intraday Cash release request through e-Xchange

<u>Cut-off Time for Delivery intention in Gold Mini January 05, 2021 expiry contract on January 1, 2021 Discontinuation of CTCL facility from NSE as ASP - Reminder</u>

System Audit and Cyber Security & Cyber Resilience Audit of Trading Member – Reminder

ICEX

PENALTY STRUCTURE FOR NON CLOSURE OF CLIENT COLLATERAL / CLIENT MARGIN TRADING SECURITIES DEMAT ACCOUNTS

UNSC PRESS RELEASE AND SANCTIONS LIST

REPORTING FOR ARTIFICIAL INTELLIGENCE (AI) AND MACHINE LEARNING (ML) APPLICATIONS AND SYSTEMS OFFERED AND USED BY MARKET INTERMEDIARIES

REMINDER FOR SUBMISSION OF HALF YEARLY NETWORTH AS ON SEPTEMBER 30, 2020

NCDEX

Penalty Structure for Non Closure of Client Collateral / Client Margin Trading Securities Demat Accounts

NSDL

2020-0163-Policy-Amendments to Business Rules of NSDL- OTP for off-marke...

NSE IFSC

No Circular

INDIA INX

Settlement schedule for the period 01.01.2021 to 31.01.2021

SEBI

NTPC Ltd. - Dispatch Advertisement

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